





Correctly coding deaths due to COVID-19: Guidance for Iris automated mortality coders

July 2020





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Correctly coding deaths due to COVID-19: Guidance for Iris automated mortality coders

This guidance document provides information for Iris automated mortality coders to correctly code deaths due to COVID-19. More information on mortality coding, including guidance for manually coding deaths due to COVID-19, is available at: https://crvsgateway.info/resources

Introduction

The COVID-19 global pandemic has been recognised as a public health emergency. In a public health emergency, mortality surveillance is extremely important to monitor the disease progression in the population. Mortality data are also important to assess the impact of interventions.

Certification of death is one of the first steps in obtaining an overview of the health of individuals (see Box 1). A properly completed cause of death certificate provides a description of the order, type and association of events that have resulted in the death. The diagnoses reported on the certificate are coded according to the International Statistical Classification of Diseases and Related Health Problems, 10th edition (ICD-10), and this coded data can then be analysed and used both nationally and internationally regardless of the language used to complete the certification.

Box 1: Certifying deaths due to COVID -19: WHO guidelines for doctors

If a patient dies following a COVID-19 infection, this must be recorded on the death certificate. Generally, patients with a COVID-19 infection die from severe respiratory distress caused by pneumonia. Pneumonia, in these cases, is caused by the coronavirus which results in the COVID-19 disease. In such cases, COVID-19 is the underlying cause of death and should be reported in the lowest used line of Part 1 of the death certificate.

The current understanding is that mortality from COVID-19 is higher among patients with co-existing chronic illnesses such as diabetes mellitus, hypertension, or chronic obstructive pulmonary disease (among others). These co-morbidities increase the risk of dying from COVID-19. Whilst COVID-19 is reported in Part 1 as the underlying cause of death, other co-morbidities that may have contributed to death should be reported in Part 2 of the death certificate.

It is also important to state whether a COVID-19 infection is laboratory confirmed or not. In situations where a COVID-19 infection is not laboratory confirmed, but clinical and epidemiological information are suggestive of the diagnosis, a probable or suspected diagnosis of COVID-19 should still be reported as the underlying cause on the lowest used line in the death certificate.

For more information on how to correctly certify deaths due to COVID-19, see: https://crvsgateway.info/file/15072/3922

This document guides Iris automated mortality coders to correctly code the death certificates of patients who have died from COVID-19. Guidance is provided for both Iris Version 5, and Iris Version 4 or older.

Emergency ICD codes for COVID-19

Two new emergency ICD-10 codes for COVID-19 have been designated by the World Health Organization (WHO), assigned from Chapter XXII (Codes for special purposes):

- 1. U07.1: COVID-19, virus identified (laboratory confirmed)
- 2. U07.2: COVID-19, virus not identified (laboratory unconfirmed). Used for:
 - Clinically-epidemiologically diagnosed COVID-19
 - Probable COVID-19
 - Suspected COVID-19

Doctors are required to properly record a COVID-19 diagnosis on the death certificate to allow coders to correctly assign one of the two codes. Both U07.1 and U07.2 may be used for mortality coding as the underlying cause of death.¹

Although both categories, U07.1 (COVID-19, virus identified) and U07.2 (COVID-19, virus not identified), are suitable for cause of death coding, it is recognised that in many countries, detail regarding the laboratory confirmation (or otherwise) of COVID-19 will not be reported on the death certificate. In the absence of this detail, it is recommended, for mortality coding purposes only, to code COVID-19 provisionally to U07.1 unless it is stated as "probable" or "suspected".

COVID-19 is considered a global pandemic and, therefore, has become an extremely important public health problem. Due to the disease's public health importance and the intense requirement of disease data, in ICD mortality coding rules, COVID-19 is not considered as "due to" or as "an obvious consequence of any other disease". This situation is similar to the coding rules applied for influenza.

Dictionary updates for COVID-19 codes

Iris users are required to update the dictionary with COVID-19 ICD codes. However, as the Iris Institute no longer supports versions of Iris older than 5, new decision table updates are not available for Iris Version 4. Users of this and previous versions will therefore not be able to automatically select the underlying cause of death when it is COVID-19. COVID-19 deaths will need to be manually selected. To do this, it is advisable for Version 4 users to implement a "reject" function of the record via the dictionary. Instructions for implementing this function are provided below.

¹ In ICD-11, the code for a confirmed diagnosis of COVID-19 is RA01.0, and the code for a clinical diagnosis (suspected or probable) is RA01.1. ICD-11

How to update the Iris dictionary

Detailed instructions to update the dictionary are available in the Iris user manual published by the Iris Institute, available at: https://www.dimdi.de/dynamic/.downloads/iris-institute/manuals/iris-user-reference-manual-v4-5-3s1.pdf.

Overview of instructions to update the dictionary:

- 1. Go to 'Tools' in the Iris file menu
- 2. Select 'Maintenance'
- 3. Enter the maintenance default password 'PwdIris'. If you have already changed the password, use the new one
- 4. Open the dictionary (this opens the developer's dictionary tool)
- 5. Click 'Add' and then the 'New values' section will be opened
- 6. Under diagnosis text, enter the new value 'COVID-19, laboratory confirmed'
- 7. Enter the code 'U071' in the ICD-10 box
- 8. Set the start and end years
- 9. Click 'Add'. Now the dictionary is updated
- 10. Repeat the same steps to enter 'COVID-19, laboratory unconfirmed' (U072)
- 11. Update the standardisation tables for different diagnostic expressions of COVID-19 (e.g. COVID-19 virus identified, COVID-19, Corona virus disease 2019, etc.).

This is a dictionary update for those using Iris Version 4 only. Updating the dictionary does not mean that the decision tables are updated to recognise U071 and U072. Therefore, a reject for all COVID-19 records must be set up, causing a guidance message to appear whenever COVID-19 needs to be coded manually.

Instructions to set up the reject function:

- 12. Go to Iris tables
- 13. Open the dictionary table
- 14. Find the newly added diagnosis text 'COVID-19'
- 15. Go to the 'likelihood' column against COVID-19 and enter '?' (only one character is allowed)
- 16. Go to the 'prompt' column and enter the reject message you want displayed when the record is rejected (up to 100 characters are allowed)
- 17. Save the changes and close the dictionary table
- 18. Restart Iris.

Once the reject function has been set up, whenever a COVID-19 record is encountered the message will display reminding users to code COVID-19 deaths manually.

Decision table rules for emergency ICD codes U07.1 and U07.2

In accordance with the WHO recommendations, the Iris Institute developed new causal relations in the decision tables, considering that:

- Public health interest is to give priority to U07.1 and U07.2 as underlying causes of death
- The selection rules should not limit the acceptance of sequences reported by certifiers as concerning the consequences of COVID-19.

The tables have been prepared by the Iris Core Group based on current knowledge, and the possibility exists that they could change following international advice. Iris preserves the codes of COVID-19 (U07.1 and U07.2) in the multiple cause string, so the multiple cause data can be used for the count of certificates mentioning COVID-19. The new tables also allow users to check cases where COVID-19, although mentioned, is not selected as the final underlying cause of death.

There is also the possibility to implement a reject function for these cases via the dictionary and/or the 'NonConsistentICDCodes' (NCIC) table in Iris.

Updated decision tables contained within MUSE (Multicausal and Unicausal Selection Engine) are available for the users of Iris Version 5, at: https://www.dimdi.de/dynamic/en/classifications/iris-institute/downloads/?dir=tables-updates/muse

Users of Iris Version 5

Users of Iris Version 5 are advised to install the updated tables through the Iris website at: https://www.dimdi.de/dynamic/en/classifications/iris-institute/downloads/?dir=tables-updates/muse

Users of Iris Version 4 and older

For users of Iris Version 4, an overview of decision table updates is available in Microsoft Excel format (see **Figure 1**). This can be downloaded at: https://www.dimdi.de/dynamic/en/classifications/iris-institute/downloads/?dir=tables-updates/overview-updates

Figure 1: Decision table updates for Iris Version 4

4	A	В	С	D	E
1	ADDRESS_FROM	ADDRESS_TO	RULE	SUBADDRESS_FROM	SUBADDRESS_TO
2					
3	A040	A050	DUE	U071	U072
4	A052	A099	DUE	U071	U072
5	A240	A269	DUE	U071	U072
6	A280	A289	DUE	U071	U072
7	A310	A329	DUE	U071	U072
8	A400	A699	DUE	U071	U072
9	A740	A749	DUE	U071	U072
10	A812	A819	DUE	U071	U072
11	A870	A89	DUE	U071	U072
12	A930	A94	DUE	U071	U072
13	A968	A969	DUE	U071	U072
14	A99	B029	DUE	U071	U072
15	B07	B159	DUE	U071	U072
16	B172	B178	DUE	U071	U072
17	B188	B199	DUE	U071	U072
18	B250	B259	DUE	U071	U072
19	B270	B49	DUE	U071	U072
20	3580 B89		DUE	U071	U072
21	B99		DUE	U071	U072
22	D500	D65	DUE	U071	U072
าว	D683	D000	DITE	LI071	LI072

Overview of decision table updates, Iris Version 4

Users of Iris Version 4, as well as those coding manually, can download the latest decision table updates for overview in Microsoft Excel format from the Iris website.

In the Excel updates, the span of codes within the 'ADDRESS_ FROM – ADDRESS_ TO' indicates the range of address codes (**Figure 2**). The 'RULE' column indicates the mortality coding rule being applied. The rule 'DUE' means a Table D causal relationship. The span of codes in the within the 'SUBADDRESS_FROM – SUBADDRESS_TO' indicate sub-address codes

Figure 2: Column headings of decision table updates

1	А	В	С	D	Е
1	ADDRESS_FROM	ADDRESS_TO	RULE	SUBADDRESS_FROM	SUBADDRESS_TO
2					
3	A040	A050	DUE	U071	U072
4	A052	A099	DUE	U071	U072
5	A240	A269	DUE	U071	U072
5	A280	A289	DUE	U071	U072
7	A310	A329	DUE	U071	U072
8	A400	A699	DUE	U071	U072
9	A740	A749	DUE	U071	U072

In the below Excel file (**Figure 3**), the 'Causal relations' sheet is for Table D relationships (i.e. the codes for the conditions having a 'DUE TO' causal relationship with COVID-19 U07.1 and U07.2).

Figure 3: Causal relationships excel sheet

20	B580		B89		DUE	U071	U072
21	B99	99		DUE		U071	U072
22	D500	0500 D65			DUE	U071	U072
22	D603		nooo ,		DITE	LI071	11072
Causal relations		Obvious	causes Specificity	+			

The 'Obvious causes' sheet (**Figure 4**) contains the codes for the conditions obviously caused by U07.1 – U07.2. These relationships indicate the mortality coding rule SP6 (Table E acronym DS).

Figure 4: Step SP6 (Starting point rule 6): Obvious causes excel sheet

9	G970	G979	DS	U071	U072
0	H590	H599	DS	U071	U072
1	H660		DS	U071	U072
2	H669		DS	U071	U072
:3	H950	H959	DS	U071	U072
4	1409		DS	U071	U072
5	1514		DS	U071	U072
6	1970	1979	DS	U071	U072
7	J120	J168	DS	U071	U072
8	J180	J189	DS	U071	U072
Ω	ເວລ		ne	11071	11072
	← → Causal	relations Obvious	causes Specificity	(+)	

The 'Specificity' Excel sheet (**Figure 5**) contains the codes for the conditions that are considered to be less specific, and better described in more precise terms by the COVID-19 codes U07.1 or U07.2.

Figure 5: Step M2: Specificity excel sheet

1	ADDRESS_FROM	ADDRESS_TO	RULE	SUBADDRESS_FROM	SUBADDRESS_TO
2					
3	B342		SMP	U071	U072
4	B349		SMP	U071	U072
5	J110	J118	SMP	U071	U072
5					
7					
3					
)					
0					
1					
2					
4					
5					
6					
7					
8					
9					
0					
1					
2					
2	- Caus	al relations Obvi	ous causes Spe	cificity	

Iris Version 5.7 decision table browser - for Iris Version 4 users

Iris Version 4 coders are able to use the decision table browser of the Iris Version 5.7 to use updated decision tables for the application of mortality coding rules (**Figure 6**). The decision table browser also allows coders to print the decision tables as PDF files (**Figures 7a and 7b**). To do this, coders need to download Version 5.7 from the Iris website and save it in a separate folder on the computer. This is only for the purpose of applying coding rules using the updated decision table browser.

Figure 6: Iris 5.7 decision table browser

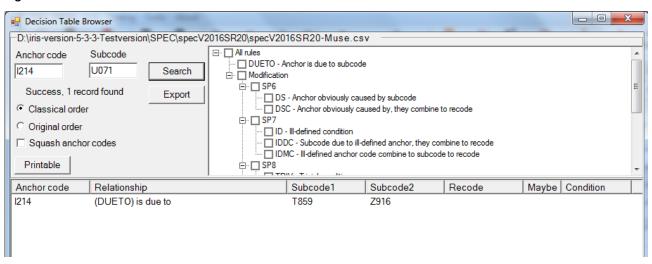


Figure 7a: Decision tables TABA (Causal relationships) in PDF

5	TABA - specV2020SR30	
1958-1959	J120-J168	J178
A000 - A969	A000 - E281	CONTINUED
A980 - E281	E283 - G942	U070 - U072
E283 - G232	G948 - R002	
G238 - G834	R008 - R961	J180-J22
G836 - G942	R98 - Y98	A000 - E281
G948 - Q999		E283 - G942
R02	J170	G948 - R002
R090 - R098	D45 - D469	R008 - R961
R54	D70	R98 - Y98
R58 - R5800	D800 - D849	
R75	D890 - D899	J300-J304
S000 - Y98	J170	A429
	M300 - M319	A439
1970-1978	T451	E840 - E849
A000 - Y98	U070 - U072	E859
		J300 - J304
1979	J171	Т780 - Т789
A000 - I979	D45 - D469	T885 - T887
I99 - Y98	D70	U070 - U072
	D800 - D849	

Figure 7b: Decision tables TABB (modifications) in PDF

TABB - specV2020SR30

B161 CONTINUED	B178 CONTINUED
LMC M K729 B160	LDC K721 - K7210 B188
LDC K740 - K742 B180	LDC K740 - K742 B188
LDC K744 - K746 B180	LDC K744 - K746 B188
B162	B179
LDC K721 - K7210 B181	SMP B150 - B178
LDC K740 - K742 B181	LDC K721 - K7210 B189
LDC K744 - K746 B181	LDC K740 - K742 B189
	LDC K744 - K746 B189
B169	
SMP B160 - B162	B181
LDC K721 - K7210 B181	SMP B180
LMC M K729 B162	
LDC K740 - K742 B181	B188
LDC K744 - K746 B181	DSC B200 - B202 B207
	DS B203
B170	DSC B204 - B206 B207
TDC - K721 - K7210 B180	ng p207

Coding COVID-19: case examples for automated coders

Coding example 1

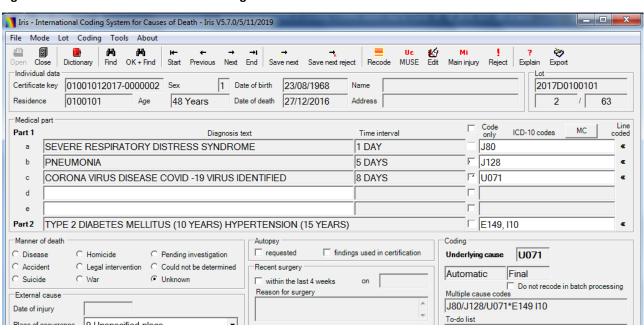
Coding instructions for this case are based on the example completed International Form of Medical Certificate of Cause of Death, Frame A, shown in Figure 8.

Figure 8: Completed International Form of Medical Certificate of Cause of Death, Frame A - case example 1

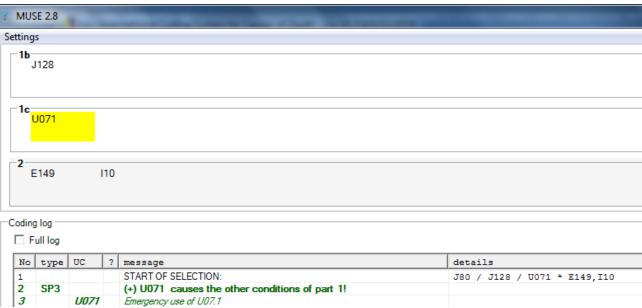
Frame A: Medical data: Part 1 and 2												
1 Report disease or condition			Cause of death	Time interval from onset to death								
directly leading to death on line a	0	> a	Severe Respiratory distress syndrome	1 day								
Report chain of events in	1	b	Pneumonia	5 days								
due to order (if applicable)	0	0	0	0	0	0	0	0	0	c	^I COVID – 19 virus identified	8 days
State the underlying cause on the lowest used line	J	d	Due to:									
2 Other significant conditions contributing to death (time intervals can be included in brackets after the condition) Hypertension (10 years) Diabetes mellitus type 2 (15 years)												

Figure 9 shows the screen of the Iris Version 5.7 main window, displaying the results of the automatic coding of case example 1 (Figure 8).

Figure 9: Iris Version 5.7 automated coding



The MUSE 2.8 window (Figure 10) explains the above automatic Iris Version 5.7 coding process.



Iris Version 4

Assuming that your Iris dictionary has been updated with the new emergency ICD-10 COVID-19 codes (U07.1 and U07.2) and that you have implemented the "reject" function as explained above, the record will be rejected and you will be able to review the record manually.

Since the decision tables for this version of Iris have not been updated to include U07.1 and U07.2, the causal relationships for COVID-19 emergency codes U07.1 and U07.2 must be manually checked. Use the 'Edit underlying cause' function under the coding menu to select U07.1 as the underlying cause.

Procedure for Iris Version 4

Use the decision table browser in Iris Version 5 or PDF tables obtained via the decision table browser.

As more than one line is used in Part 1, Step SP3 is applied.

- Look up Table D Address ---J80--- in the TABA (Causal relationships table): ---J80---, (---J80-J81---), U07.1 (R98-Y98) is listed
- Look up Table D Address ---J12.8--- in the DUE TO table in MMDS updates: ---J12.8---, (---J120-J16.8---), U07.1 (R98-Y98) is listed
 - √ U071 selected as tentative starting point using the Step SP3.
- Look up Table E Address ---U071--- in the MMDS table updates: U071 address code is not found
 - ✓ No modification required
 - √ U07.1 is selected as final underlying cause of death.

Coding example 2

Coding instructions for this case are based on the example completed International Form of Medical Certificate of Cause of Death, Frame A, shown in Figure 11.

Figure 11: Completed International Form of Medical Certificate of Cause of Death, Frame A - case example 2

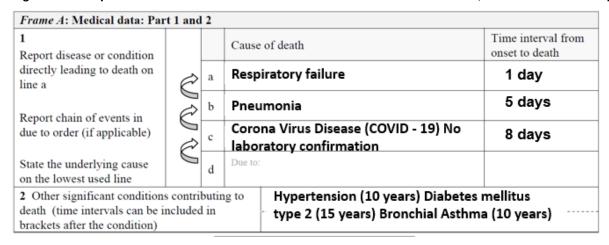
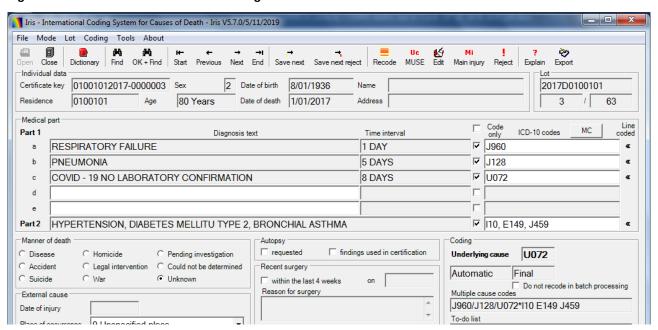


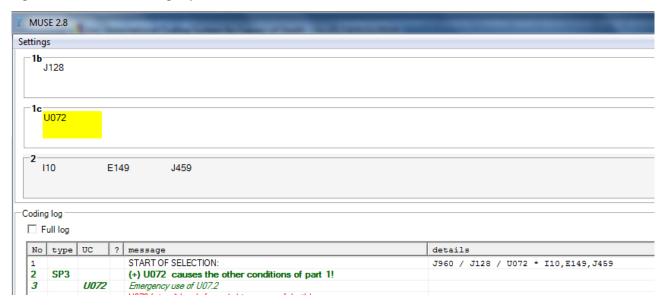
Figure 12 shows the screen of the Iris Version 5.7 main window, displaying the results of the automatic coding of case example 2 (Figure 11).

Figure 12: Iris Version 5.7 automated coding



The MUSE 2.8 window (Figure 13) explains the above automatic Iris Version 5.7 coding process.

Figure 13: MUSE 2.8 coding explanation



Iris Version 4

Refer to the MMDS table updates above to manually check the causal relationships for COVID-19 emergency codes U07.1 and U07.2.

Use the function 'Edit underlying cause' under coding menu to select U07.1 as the underlying cause.

Procedure for Iris Version 4

Use the decision table browser in Iris Version 5 or PDF tables obtained via the decision table browser.

As more than one line is used in Part 1, Step SP3 is applied.

- Look up Table D addresses in the TABA causal relationships table
- Look up Table D Address ---J960---, (---J960 -J969---): U07.2 (R98-Y98) is listed.
- Look up Table D Address ---J12.8---, (---J120-J168---), U07.2 (R98-Y98) is listed.
 - \checkmark U072 selected as tentative starting point using the Step SP 3.
- Look up Table E TABB modification table
- Look up Table E Address ---U072--- U072 address code is not found
 - ✓ No modification required
 - √ U07.2 is selected as the final underling cause of death.

Coding example 3

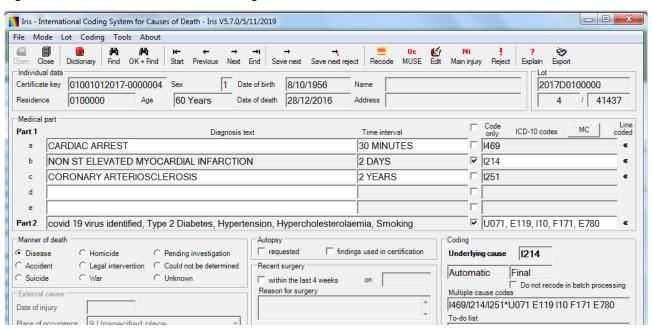
Coding instructions for this case are based on the example completed International Form of Medical Certificate of Cause of Death, Frame A, shown in Figure 14.

Figure 14: Completed International Form of Medical Certificate of Cause of Death, Frame A - case example 3

Frame A: Medical data: Part 1 and 2							
1 Report disease or condition			Cause of death	Time interval from onset to death			
directly leading to death on line a		a	Cardiac arrest	30 minutes			
Report chain of events in		1 W 8	b	Non ST elevated myocardial infarction	2 days		
due to order (if applicable)			c	Coronary arteriosclerosis	2 Years		
State the underlying cause on the lowest used line		d	Due to:				
2 Other significant conditions contributing to COVID - 19 laboratory confirmed (17 days), Diabetes							
death (time intervals can be in	ncluded	l in	mellitus type 2 (10 years), Smoking (30	years),			
brackets after the condition)			Hypertension (10years), Obesity, Hyper	rcholesterolaemia			

Figure 15 shows the screen of the Iris Version 5.7 main window, displaying the results of the automatic coding of case example 3 (Figure 14).

Figure 15: Iris Version 5.7 automated coding



The MUSE 2.8 window (Figure 16) explains the above automatic Iris Version 5.7 coding process.

Figure 16: MUSE 2.8 coding explanation



Iris Version 4

Refer to MMDS table updates above, to manually check the causal relationships for COVID-19 emergency codes U07.1 and U07.2

Procedure for Iris Version 4

Use the decision table browser in Iris Version 5 or PDF tables obtained via the decision table browser.

As more than one line is used in Part 1, Step SP3 is applied.

- Look up Table D addresses in the TABA causal relationships table
- Look up Table D Address ---I469---(---I440-I569---) I251 (H000 L599) is listed
- Look up Table D Address ---I214---(---I210-I214---: I251 (I10 I359) is listed
 - √ I251 selected as tentative starting point using the Step SP 3.
- Look up Table E addresses in TABB modification table Look up Table E Address ---I251---(---I250-I256---) I214 (I210 I229) is listed. Table E acronym LMP, Rule M1
 - ✓ I214 is not further modified.

Please note that in this example, the certifier has considered that myocardial infarction is due to the patient's pre-existing coronary arteriosclerosis. However, hypertension, obesity, smoking and hypercholesterolaemia may have contributed to it. This underlying cause of death selection in this case is, therefore, myocardial infarction.

In cases where the certifier has considered a myocardial infarction is due to a COVID-19 infection, and COVID-19 is reported in the lowest used line of Part 1, COVID-19 will be selected as the underlying cause of death. Updated MMDS tables supports a 'DUE TO' relationship between codes in the range I20.0 – I24.9 with U07.1 – U07.2.

Coding example 4

Coding instructions for this case are based on the example completed International Form of Medical Certificate of Cause of Death, Frame A, shown in Figure 17.

Figure 17: Completed International Form of Medical Certificate of Cause of Death, Frame A - case example 4

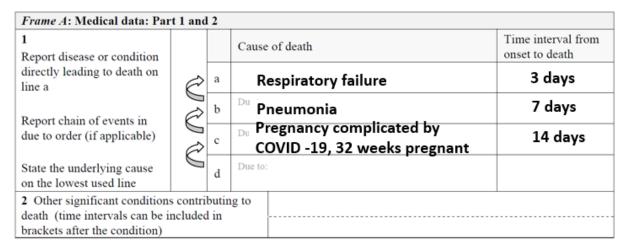
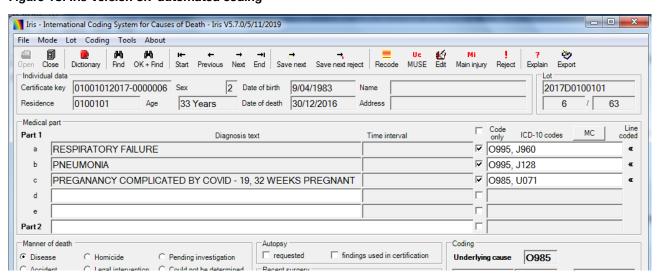


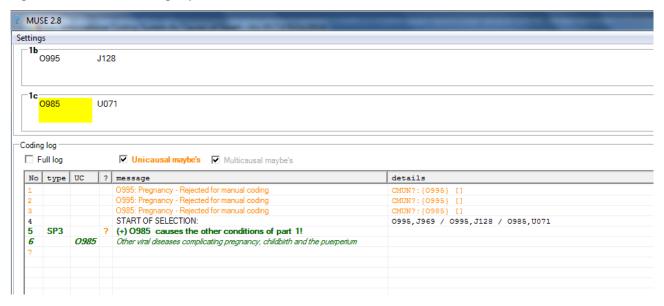
Figure 18 shows the screen of the Iris Version 5.7 main window, displaying the results of the automatic coding of case example 4 (Figure 17).

Figure 18: Iris Version 5.7 automated coding



The MUSE 2.8 window (Figure 19) explains the above automatic Iris Version 5.7 coding process.

Figure 19: MUSE 2.8 coding explanation



Iris Version 4

Refer to MMDS table updates above to manually check the causal relationships for COVID-19 emergency codes U07.1 and U07.2.

Procedure for Iris Version 4

Use the decision table browser in Iris Version 5 or PDF tables obtained via the decision table browser.

As more than one line is used in Part 1, Step SP3 is applied.

- Look up Table D addresses in the TABA causal relationships table
- Look up Table D Address ---O99.5---(---O995-O997---), O98.5 (O00.0 O99.8) is listed
- Look up Table D Address ---J96.0---(---J960 -J969---): O98.5 (G942-R002) is listed
- Look up Table D Address ---J12.8---(---J120-J168---) O98.5 (G942-R002) is listed
 - √ 098.5 selected as tentative starting point.
- Look up Table E addresses in TABB modifications table
- Look up table E address O98.5
 - ✓ No modification required
 - √ 098.5 selected as the final underlying cause of death. U07.1 is retained as an additional code.







The program partners on this initiative include: The University of Melbourne, Australia; CDC Foundation, USA; Vital Strategies, USA; Johns Hopkins Bloomberg School of Public Health, USA; World Health Organization, Switzerland.

Civil Registration and Vital Statistics partners:







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